

RESEARCH PAPER

NPY controls fear conditioning and fear extinction by combined action on Y₁ and Y₂ receptors

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BACKGROUND AND PURPOSE

Neuropeptide Y (NPY) and its receptors have been implicated in the control of emotional-affective processing, but the mechanism is unclear. While it is increasingly evident that stimulation of Y₁ and inhibition of Y₂ receptors produce prominent anxiolytic and antidepressant effects, the contribution of the individual NPY receptor subtypes in the acquisition and extinction of learned fear are unknown.

EXPERIMENTAL APPROACH

Here we performed Pavlovian fear conditioning and extinction in NPY knockout (KO) and in NPY receptor KO mice.

KEY RESULTS

NPY KO mice display a dramatically accelerated acquisition of conditioned fear. Deletion of Y₁ receptors revealed only a moderately accelerated acquisition of conditioned fear, while lack of Y₂ receptors was without any effect on fear learning. However, the strong phenotype seen in NPY KO mice was reproduced in mice lacking both Y₁ and Y₂ receptors. In addition, NPY KO mice showed excessive recall of conditioned fear and impaired fear extinction. This behaviour was replicated only after deletion of both Y₁ and Y₂ receptors. In Y₁ receptor single KO mice, fear extinction was delayed and was unchanged in Y₂ receptor KO mice. Deletion of NPY and particularly Y₂ receptors resulted in a generalization of conditioned fear.

CONCLUSIONS AND IMPLICATIONS

Our data demonstrate that NPY delays the acquisition, reduces the expression of conditioned fear while promoting fear extinction. Although these effects appear to be primarily mediated by Y₁ receptors, the pronounced phenotype of Y₁Y₂ receptor double KO mice suggests a synergistic role of Y₂ receptors in fear acquisition and in fear extinction.

Abbreviations

BLA, basolateral amygdala; CEA, central amygdala; CS, conditioned stimulus; CS⁻, conditioned stimulus that was not paired with an unconditioned stimulus; CS⁺, conditioned stimulus that was paired with an unconditioned stimulus; icv, intracerebroventricular; KO, knockout; NPY, neuropeptide Y; US, unconditioned stimulus

Introduction

A high incidence of human anxiety disorders and limited treatment options pose a major challenge for health-care systems and a requirement for novel drug therapies (Wit-

tchen and Jacobi, 2005; Wittchen *et al.*, 2011). Neuropeptide systems are promising drug targets for the modulation of anxiety-related disorders. In particular, neuropeptide Y (NPY), a highly conserved 36-amino acid peptide that has been shown to be involved in the modulation of anxiety

(Kask *et al.*, 2002; Heilig, 2004). NPY and its receptors (Y_1 , Y_2 , Y_4 , Y_5 ; receptor nomenclature follows Alexander *et al.*, 2011) are concentrated in the limbic areas of the brain including the hippocampus and the amygdala (Tatemoto *et al.*, 1982; Gustafson *et al.*, 1986; Dumont *et al.*, 1993; El Bahh *et al.*, 2005; Stanic *et al.*, 2011). In the amygdala, Y_1 and Y_2 receptors are expressed in the basolateral (BLA) and central (CEA) nucleus (Kopp *et al.*, 2002; Stanic *et al.*, 2006; 2011). Considerable evidence supports an important role of NPY in modulating anxiety-related behaviours in rodents. The anxiolytic action of NPY is predominantly mediated by stimulation of Y_1 receptors in the BLA (Heilig *et al.*, 1993; Heilig, 1995; Karlsson *et al.*, 2007). Activation of presynaptic Y_2 receptors increases anxiety-like responses (Nakajima *et al.*, 1998; Sajdyk *et al.*, 2002; Bacchi *et al.*, 2006), whilst deletion of Y_2 receptors results in reduced anxiety-like behaviour (Redrobe *et al.*, 2003; Tschenett *et al.*, 2003; Tasan *et al.*, 2009; 2010). Recently, a possible role of NPY in models of learned fear has been suggested (Gutman *et al.*, 2008; Fendt *et al.*, 2009).

Cued fear conditioning is a simple form of associative learning predominantly mediated by the amygdala (LeDoux, 2000). Using fear-potentiated startle as a measure in rats, Broqua *et al.* (1995) showed that intracerebroventricular application of the Y_1 receptor-preferring agonist $\text{Leu}^{31}\text{Pro}^{34}\text{NPY}$ results in a reduction of fear expression. Similarly, Gutman *et al.* (2008) demonstrated that NPY inhibits the expression and facilitates the extinction of fear, presumably by acting on Y_1 receptors in the BLA. Conversely, recent evidence suggests that NPY application into the amygdala may influence the expression of fear in mice independent of Y_1 receptor activation (Fendt *et al.*, 2009). While a role of NPY in fear expression and extinction is increasingly evident, the contributions of the NPY receptors involved are still unclear.

The aim of the present study was to characterize the role of endogenous NPY in acquisition, expression and extinction of conditioned fear, and to investigate the participation of individual NPY receptors in these processes. In order to achieve these aims, we employed Pavlovian fear conditioning and extinction in mice deficient in NPY, deficient in individual NPY receptors (Y_1 , Y_2) or lacking both Y_1 and Y_2 receptors.

Methods

Animals

All animal care and experimental procedures complied with international laws and policies (Directive 2010/63/EU of the European parliament and of the council of 22 September 2010 on the protection of animals used for scientific purposes; Committee for the Update of the Guide for the Care and Use of Laboratory Animals, Council NR, 2010) and were approved by the Austrian Ministry of Science. All effort was taken to minimize the number of animals used and their suffering. Experiments were performed on adult male mice (10–16 weeks old, weighing 25–30 g) maintained on a C57BL/6-129SvJ background. They were housed in groups of three to five under standard laboratory conditions (12 h/12 h light/dark cycle, lights being on at 07:00, food and water *ad libitum*). Generation of NPY and Y_1 , Y_2 and Y_1Y_2 receptor

knockout (KO) mice has been described in detail previously (Sainsbury *et al.*, 2002a,b; Karl *et al.*, 2008). In brief, floxed, chimeric conditional KO mice ($Y_1^{\text{lox/lox}}$ or $Y_2^{\text{lox/lox}}$) were crossed with oocyte-specific Cre-recombinase expressing C57BL/6 mice (Schwenk *et al.*, 1995). Wildtype mice (WT) of the same mixed C57BL/6-129SvJ background were used as controls (Sainsbury *et al.*, 2002a). Deletion of NPY, Y_1 and Y_2 receptor genes was confirmed in all mice used for the experimentation by PCR and agarose gel electrophoresis. Further characterization of receptor deletion was done in randomly selected mice by *in situ* hybridization and receptor autoradiography [using rat (^{125}I)- Leu^{31} , Pro^{34} PYY and rat (^{125}I)-PYY $_{3-36}$ as ligands for Y_1 and Y_2 receptors, respectively], as described in detail previously (Gobbi *et al.*, 1998; Tasan *et al.*, 2009).

Genotyping

Genotypes of the mice were monitored as described previously (Sainsbury *et al.*, 2002a; Karl *et al.*, 2008; Tasan *et al.*, 2009). In brief, PCR was performed using the following primers for NPY oligo-NPY-F1 (5' ATG GAA GTC AGA GGA TGC 3'), oligo-NPY-R1 (5' TCA AAT GTT ATT CCC AGT CG 3') and oligo-NPY-F2 (5' GTT AAA CCT TCG ATT CCG ACC TC 3') and oligo-NPY-R2 (5' ATT CTA GGG TCT GGG ATG 3'), the Y_1 receptor oligo-Y1-F (5' TGG CAA AAC AGG TCC CTG 3') and oligo-Y1-R (5' CTA GCC AGT TGG TAA TGG 3'), the Y_2 receptor oligo-Y2-F (5' TTA ACA TCA GCT GGC CTA GC 3'), oligo-Y2-R1 (5' GGA AGT CAC CAA CTA GAA TGG 3'), oligo-Y2-R2 (5' AGC ATC CAG AGA AGT GCA AC 3') with 40 cycles of 94°C for 45 s, 59°C for 45 s and 72°C for 45 s. DNA was loaded on a 2% agarose gel. Ethidium bromide-labelled bands were evaluated under UV light and monitored with a concomitantly run size marker. Using a combination of oligo-NPY-R1 and oligo-NPY-F1, oligo-Y1-R and oligo-Y1-F, oligo-Y2-F and oligo-Y2-R1 sequence corresponding to the intact NPY, Y_1 or Y_2 receptor genes could be detected (NPY WT: 200 bp, Y_1 WT: 650 bp, Y_2 WT mice: 330 bp), whereas oligo-NPY-R2 and oligo-NPY-F2, oligo-Y1-R and oligo-Y1-F, oligo-Y2-F and oligo-Y2-R1 were used to demonstrate the deletion of the NPY, Y_1 receptor and Y_2 receptor respectively (NPY KO mice: 200 bp, Y_1 receptor KO mice: 520 bp, Y_2 receptor KO mice: 250 bp) (for details see Sainsbury *et al.*, 2002a,b; Karl *et al.*, 2008).

Behavioural experiments

Home cage activity. Motor activity of mice was assessed in their home cages for 72 h, as described in detail previously (Tasan *et al.*, 2009). For homecage activity measurements, naïve mice were single-housed for 72 h in standard cages with food and water *ad libitum*. Briefly, movements were determined using an infrared sensor mounted on top of the cages (TSE LabMaster InfraMot, Bad Homburg, Germany). After a 24 h acclimatization period, cumulative activity was recorded during the subsequent 72 h. Our setting allowed concomitant testing of four KO mice and four controls. Cumulative activity counts per 12 h period were analysed for the dark and light cycle separately and presented as a mean of three consecutive cycles.

Baseline activity in the fear-conditioning box. To validate reactive motor activity in an unfamiliar environment that is more

relevant to testing conditions, the behaviour of naïve mice was investigated in a fear-conditioning box (similar to *context A* of the fear-conditioning experiments) for 15 min (corresponding to the testing time in acquisition and extinction trials) in the absence of any stimulus. Videotapes were analysed for motor activity by a pixel-based analysis software (<http://topowatch.sourceforge.net/>, TopoWatch v0.3) and verified manually by two different observers that were unaware of the genotype of the mice.

Determination of sensitivity threshold to the unconditioned stimulus (US). Naïve mice were placed individually into the conditioning box. After a 3 min habituation period, a series of electric foot shocks of increasing current intensity was applied (0.1–0.9 mA, 2 s, increase in 0.1 mA steps every 30 s). The sensitivity threshold was defined as the current at which the mice displayed each sign of the US sensitivity response (flinching, running, jumping and vocalization).

Differential fear-conditioning paradigm. Naïve mice were used for fear conditioning. All fear-conditioning experiments were repeated with a different set of naïve mice, yielding the same significant results and are shown as a pooled analysis. In the fear-conditioning paradigm, an US, usually a mild electric foot shock is repetitively paired with a conditioned stimulus (CS), typically represented by a tone. After a few of these pairings, the CS alone can elicit a typical fear reaction. Subsequently, repetitive presentations of the CS in the absence of the US results in a gradual reduction of the learned fear response, a process called fear extinction. Fear conditioning was performed in *context A* consisting of a transparent acrylic rodent-conditioning chamber with a metal grid floor that was enclosed by a sound-attenuating chamber. Illumination was 80 lux and chambers were cleaned with 70% ethanol. Fear recall as well as fear extinction and extinction recall were performed in a different context consisting of a dimly illuminated (10 lux) chamber with black, smooth walls and the floor cleaned with 1% acetic acid (*context B*). On day 1 (*context A*) mice were subjected to a differential fear-conditioning paradigm in which one auditory stimulus served as a CS (CS+, 30 s white noise, 80 dB) because it was explicitly paired with a US, whereas the second auditory stimulus was not paired (CS–, 30 s, 3.5 kHz, 80 dB). All animals received 5 CS– and 5 CS+ in an alternating order, starting with a CS+. The US co-terminating with each CS+ consisted of a mild electric foot shock. The shock intensity was set to 0.7 mA (2 s), a threshold at which all strains showed a respective behavioural reaction in the sensitivity analysis. On days 2 and 3, fear recall and extinction training was performed in *context B*. After a 2 min habituation period, 5 CS– (30 s, inter-stimulus interval 5 s) were presented followed by 15 presentations of CS+ (30 s, inter-stimulus interval 5 s) or 40 CS+ for an extended extinction protocol. Extinction recall was tested on day 4 by presenting 5 CS+ in *context B*. To further investigate the generalization of conditioned fear, we used two stimuli that were more distant from each other, a visual (CS–, 30 s, house light, 50 lux) and an auditory stimulus (CS+, 30 s white noise, 80 dB). In order to use the light as a cue for fear conditioning, these experiments were performed in the dark with an infrared light source Monacor IR-28-plate LED infrared light, Austria) in a separate group of naïve mice. Behaviour was

recorded by a video camera and scored offline by a pixel-based analysis software (<http://topowatch.sourceforge.net/>, TopoWatch v0.3). The parameters of the program were validated by comparison with a manual analysis by two independent observers. To control for unpredictable factors that might occur during fear conditioning, a CS only/no shock group was included in all experiments for the respective genotypes.

Statistical analysis

Data are presented as means $\pm$ SEM. They were analysed for normal distribution and equal variances using GraphPad Prism software (Prism 5 for Macintosh, GraphPad Software Inc., San Diego, CA, USA). All acquisition and extinction experiments as well as motor activity measurements were analysed by repeated two-way ANOVA for time, genotype and interaction (time $\times$ genotype) with a Bonferroni *post hoc* test for selected comparisons. Kruskal Wallis with Dunn's multiple comparison tests were used for analysing US sensitivity threshold.

Results

Homecage activity

The different KO mouse lines (NPY and Y₁, Y₂ and Y₁/Y₂ receptor) were evaluated for baseline characteristics relevant to fear conditioning, such as home cage activity, reactive motor activity and the sensitivity threshold to the US. General home cage activity was significantly reduced in NPY KO mice (Figure 1B; genotype $F_{(1/14)} = 15.84$, $P < 0.01$ and light/dark cycle $F_{(1/14)} = 52.59$, $P < 0.0001$ with interaction $F_{(1/14)} = 16.75$, $P < 0.01$) and Y₁/Y₂ receptor double KO mice (Figure 1D; genotype $F_{(1/14)} = 4.59$, $P < 0.05$ and light/dark cycle $F_{(1/14)} = 65.88$, $P < 0.0001$ with interaction $F_{(1/14)} = 6.17$, $P < 0.05$) during the dark phase (Figure 1B and D; WT vs. NPY KO: $t_{(14)} = 5.71$, $P < 0.0001$ and WT vs. Y₁/Y₂ receptor KO: $t_{(14)} = 3.23$, $P < 0.01$; Bonferroni *post hoc* test), but not during the light phase of the light/dark cycle. However, there was no difference in home cage behaviour between Y₁ or Y₂ receptor single KO mice and WT controls (not shown; $n = 8$ mice/genotype).

Reactive activity in the fear-conditioning box

To test for a possible confounding influence of reactive motor activity WT, NPY KO, Y₁ KO, Y₂ KO and Y₁/Y₂ receptor double KO mice were tested for their immobility times in the fear-conditioning box in the absence of any stimulus for the same time period as in acquisition and extinction trials (NoCS groups). As shown in Figure 1E, there was no significant difference in % of immobility between NPY KO, Y₁ KO, Y₂ KO, Y₁/Y₂ receptor double KO and WT controls as revealed by repeated two-way ANOVA. Moreover a CS only/no shock group was included to control for an influence of altered CS perception. Two-way ANOVA revealed an overall effect of genotype for extinction day 1 (Figure 1G; $F_{(1/14)} = 6.17$, $P < 0.01$), but not for acquisition or between-session extinction (Figure 1F and H). Compared with WT controls, however, Bonferroni *post hoc* analysis did not show any significant difference of the

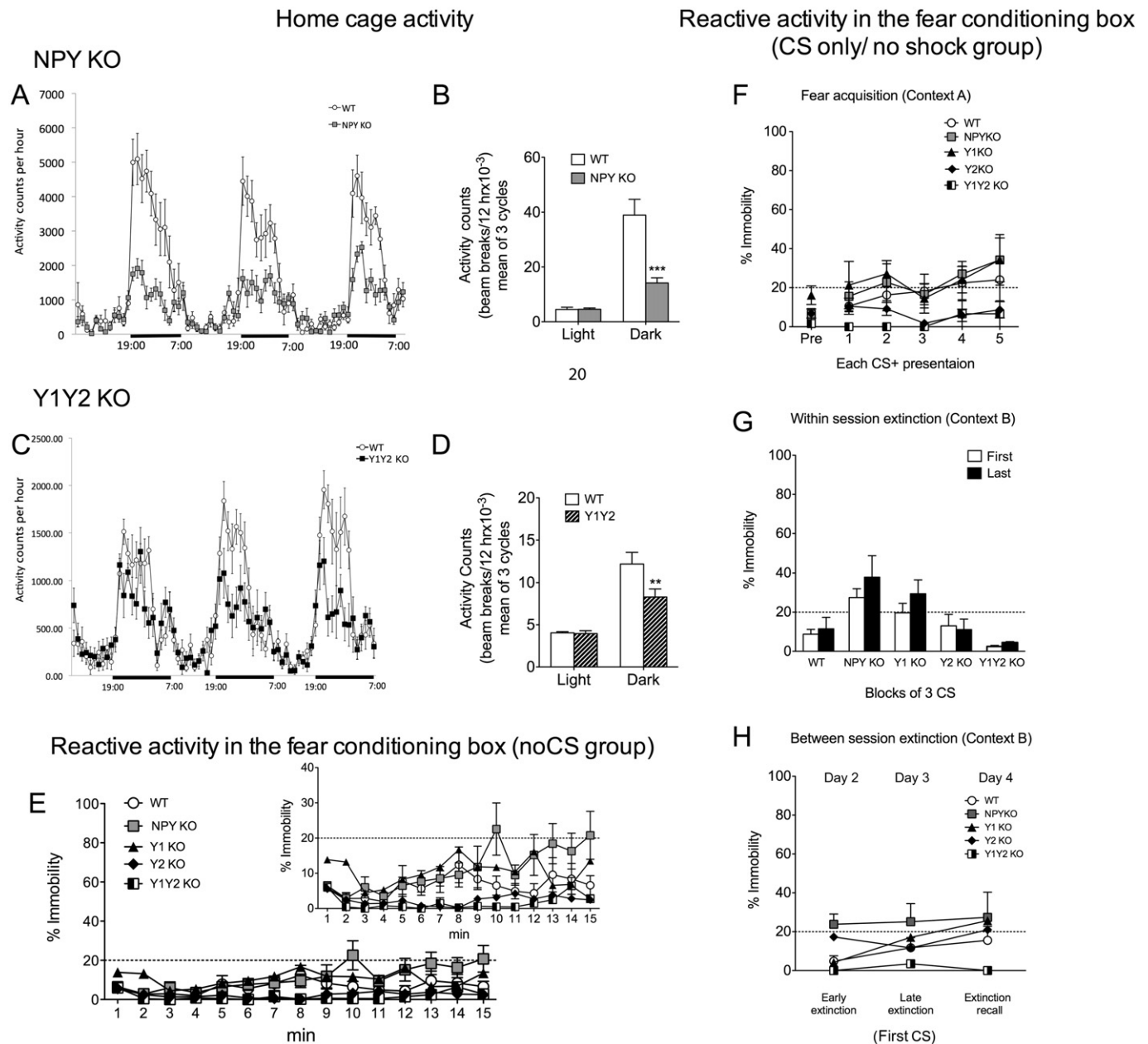


Figure 1

Home cage activity, reactive activity in the fear-conditioning box and CS only/no shock data of NPY KO, Y₁ receptor KO, Y₂ receptor KO and Y₁/Y₂ receptor double KO mice. (A) Home cage activity measurement in NPY KO mice and WT controls during three consecutive light/dark cycles; (B) quantification of cumulative activity demonstrates significantly decreased activity of NPY KO mice during the dark phase, but equal activity in the light phase of the light/dark cycle; (C) home cage activity determined during three consecutive light/dark cycles and (D) quantitative evaluation of cumulative activity demonstrating decreased activity of Y₁/Y₂ receptor double KO mice in the dark phase, but not in the light phase of the light/dark cycle; (E) baseline immobility during 15 min (comparable with the respective experimental time scales used in fear acquisition and extinction experiments) in context A of the fear-conditioning box without any stimulus (insert with altered scale more accurately displays differences of individual groups); (F) reactive motor activity of CS only/no shock groups of the different genotypes during acquisition (G) within-session extinction period of CS only/no shock group (shown by comparison of the first three with the last three CS); and (H) between-session analysis of CS only/no shock group (shown by comparison of % immobility to the first CS on three individual extinction days). Dashed line in E–H indicates freezing threshold. Data shown are means $\pm$ SEM, repeated two-way ANOVA with Bonferroni *post hoc* test (home cage $n = 8$ per group, reactive motor activities $n = 5$ per group), $^{**}P < 0.01$, $^{***}P < 0.001$.

individual genotypes in reactive motor activity when mice were exposed to the CS alone (Figure 1F–H).

Sensitivity threshold to US

We also investigated the sensitivity to the US by analysing the threshold of US-induced movements (flinching, running, jumping) and vocalization. As shown in Supporting Information Figure S1, NPY KO mice showed an increased threshold to all the US-induced behavioural responses investigated, while Y₁, Y₂ and Y₁/Y₂ receptor double KO mice responded similar to controls (Supporting Information Figure S1A–D; $n = 8$ –9 mice/KO mouse strain, WT: $n = 20$; Kruskal Wallis test, flinching $H_{(4)} = 29.19$, $P < 0.0001$, vocalization: $H_{(4)} = 34.26$, $P < 0.0001$, running $H_{(4)} = 25.55$, $P < 0.0001$ and jumping $H_{(4)} = 23.31$, $P < 0.0001$).

Acquisition and recall of conditioned fear

In fear-conditioning experiments, NPY KO mice ($n = 24$) and age-matched WT controls ($n = 25$) showed similar baseline freezing levels on the acquisition day (Figure 2A; PreCS, day 1, context A). Acquisition of conditioned fear, however, was significantly accelerated in NPY KO mice (Figure 2A). Repeated two-way ANOVA revealed an effect of genotype ($F_{(1/47)} = 57.60$, $P < 0.0001$) and time ($F_{(4/188)} = 73.36$, $P < 0.0001$) as well as interaction of genotype $\times$ time ($F_{(4/188)} = 7.49$, $P < 0.0001$). Long-term fear memory, tested 24 h later in a different context (day 2, context B) was increased in NPY KO mice, as revealed by higher freezing levels to the CS+ (Figure 2B, two-way ANOVA with Bonferroni *post hoc* test, genotype $F_{(1/36)} = 97.46$, $P < 0.0001$ and CS $F_{(1/36)} = 7.02$, $P < 0.05$ and interaction $F_{(1/36)} = 6.21$, $P < 0.05$). To test whether NPY exerts a role in stimulus discrimination, we applied a differential auditory fear-conditioning paradigm, in which two different auditory stimuli were presented alternately: one (CS+) was paired with a US and a different CS (CS–) was not followed by a US. When tested 24 h later, WT mice were able to discriminate between CS+ and CS– (WT, CS+ vs. CS–: $t_{(17)} = 3.53$, $P < 0.01$). In contrast, NPY KO mice also displayed an increased freezing response to the CS–, indicating a generalization of conditioned fear (Figure 2B; NPY KO, CS– vs. CS+: $t_{(19)} = 0.11$, $P = 0.92$; and CS–, WT vs. NPY KO: $t_{(36)} = 4.70$, $P < 0.0001$). To test whether this generalization of conditioned fear in NPY KO mice also extends to more distinct stimuli, we used a visual stimulus as CS– and an auditory stimulus as CS+ (Figure 3). Similar to WT, NPY KO mice were now able to distinguish between CS– and CS+ as revealed by repeated two-way ANOVA (Figure 3B, genotype $F_{(1/11)} = 13.54$, $P < 0.01$ and CS $F_{(1/11)} = 53.45$, $P < 0.0001$ with no interaction $F_{(1/11)} = 2.06$, $P > 0.05$ and Bonferroni *post hoc* test, CS+ vs. CS–, WT: $t_{(11)} = 4.33$, $P < 0.01$ and NPYKO: $t_{(11)} = 5.96$, $P < 0.001$). Compared with WT, freezing levels of NPY KO mice were increased to the CS+ but not to the CS– (Figure 3B; Bonferroni *post hoc* test; WT vs. NPY KO, CS+: $t_{(11)} = 3.76$, $P < 0.01$ and CS–: $t_{(11)} = 1.96$, $P > 0.05$).

Y₁ receptor KO ($n = 13$) mice showed facilitated acquisition of conditioned fear (Figure 2C, repeated two-way ANOVA, genotype: $F_{(1/22)} = 4.46$, $P < 0.05$; time: $F_{(4/88)} = 46.45$, $P < 0.0001$ but no interaction genotype $\times$ time: $F_{(4/88)} = 1.28$, $P > 0.05$), whereas Y₂ receptor KO mice ($n = 18$) acquired fear at the same rate as WT controls (Figure 2E, repeated two-way ANOVA, no difference in genotype: $F_{(1/33)} = 0.01$ and interaction geno-

type $\times$ time: $F_{(4/132)} = 0.61$; time: $F_{(4/132)} = 27.84$, $P < 0.0001$). Recall of fear, tested after 24 h, was similar in Y₁ and Y₂ receptor KO mice compared with WT controls (Figure 2D and F; Bonferroni *post hoc* test, CS+, WT vs. Y₁ receptor KO: $t_{(32)} = 1.84$; WT vs. Y₂ receptor KO: $t_{(52)} = 0.13$). Interestingly, only Y₁/Y₂ receptor double KO mice ($n = 12$) showed accelerated fear acquisition (Figure 2G; repeated two-way ANOVA genotype: $F_{(1/34)} = 17.28$, $P < 0.001$; time: $F_{(4/136)} = 40.51$, $P < 0.0001$ and interaction genotype $\times$ time: $F_{(4/136)} = 2.84$, $P < 0.05$) and increased fear expression on the retention day (Figure 2H, two-way ANOVA; genotype $F_{(1,18)} = 23.34$, $P < 0.001$ and CS $F_{(1,18)} = 44.96$, $P < 0.0001$ but no interaction $F_{(1,18)} = 0.97$, $P > 0.05$ with Bonferroni *post hoc* test, CS+, WT vs. Y₁/Y₂ receptor KO: $t_{(18)} = 4.05$, $P < 0.01$), similar to NPY KO mice (Figure 2A and B). Further investigations into discriminative learning revealed that NPY KO, as well as Y₂ receptor KO and Y₁/Y₂ receptor double KO, mice displayed increased freezing times to a CS–, indicating a generalization of conditioned fear (Figure 2F and H; Bonferroni *post hoc* tests, Y₂ receptor KO, CS+ vs. CS–: $t_{(32)} = 1.64$, $P > 0.05$ and CS–, WT vs. Y₁/Y₂ receptor KO: $t_{(18)} = 5.44$, $P < 0.0001$).

Similar to CS+, acquisition of CS– induced freezing was strongly accelerated in NPY KO (Supplementary Figure S2A) and Y₁/Y₂ receptor double KO mice (Supplementary Figure S2D) as revealed by repeated two-way ANOVA (NPY KO: genotype $F_{(1/10)} = 81.16$, $P < 0.0001$ and time $F_{(4/40)} = 5.59$, $P < 0.01$ but no interaction $F_{(4/40)} = 0.74$, $P > 0.05$; Y₁/Y₂ receptor double KO mice: genotype $F_{(1,9)} = 26.73$, $P < 0.001$ and time $F_{(4/36)} = 5.91$, $P < 0.001$ but no interaction $F_{(4/36)} = 1.67$, $P > 0.05$). In Y₁ receptor KO mice (Supplementary Figure S2B) there was a moderate acceleration of CS– induced freezing (genotype $F_{(1,9)} = 6.89$, $P < 0.05$ and time $F_{(4/36)} = 7.39$, $P < 0.001$ and interaction $F_{(4/36)} = 3.11$, $P < 0.05$) while there was no difference between WT and Y₂ receptor KO mice (Supplementary Figure S2C; genotype $F_{(1,13)} = 0.04$, $P > 0.05$ and time $F_{(4/52)} = 10.94$, $P < 0.0001$ but no interaction $F_{(4/52)} = 0.86$, $P > 0.05$).

Extinction of conditioned fear

Within-session extinction. Within-session extinction was determined by (i) the change of the freezing response over the course of consecutive CS presentations on extinction day 1; and (ii) by comparison of the first three CS with the last three CS on each extinction day. In NPY KO mice, within-session extinction, was significantly delayed, as revealed by repeated two-way ANOVA of single CS presentations (Figure 4A; time, $F_{(14/350)} = 3.72$, $P < 0.0001$ and genotype, $F_{(1/25)} = 54.45$, $P < 0.0001$ with no interaction: $F_{(14/350)} = 1.01$, $P > 0.05$) and by comparing the first three CS+ with the last three CS+ on extinction days 1 and 2 (Figure 4B and C, repeated two-way ANOVA for day1: time, $F_{(1/25)} = 7.62$, $P < 0.05$ and genotype, $F_{(1/25)} = 89.59$, $P < 0.0001$ with no interaction: $F_{(1/25)} = 1.05$, $P > 0.05$ and Bonferroni *post hoc* test, WT: $t_{(24)} = 2.63$, $P < 0.05$ but NPY KO: $t_{(26)} = 1.25$, $P > 0.05$ and day 2: time, $F_{(1/25)} = 13.86$, $P < 0.001$ and genotype $F_{(1/25)} = 73.34$, $P < 0.0001$ and no interaction $F_{(1/25)} = 0.09$, $P > 0.05$, WT: $t_{(24)} = 2.79$, $P < 0.05$; NPY KO: $t_{(26)} = 2.47$, $P < 0.05$). Even an extended extinction protocol (40 CS/ day, 2 days) did not result in improved extinction learning in NPY KO mice (not shown).

As shown in Figure 4, Y₁ receptor KO mice revealed significantly delayed extinction of conditioned fear (Figure

Fear acquisition and expression

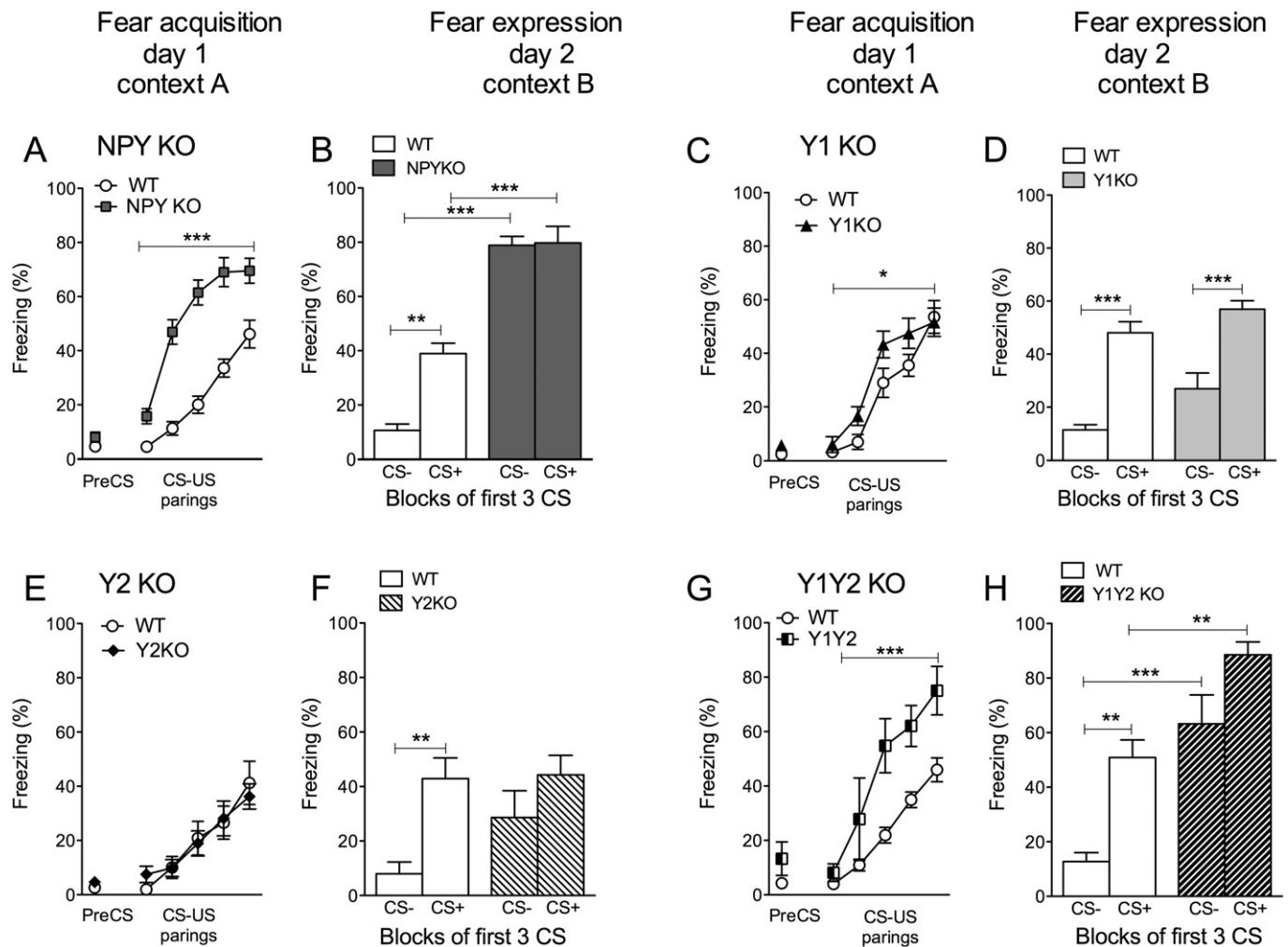


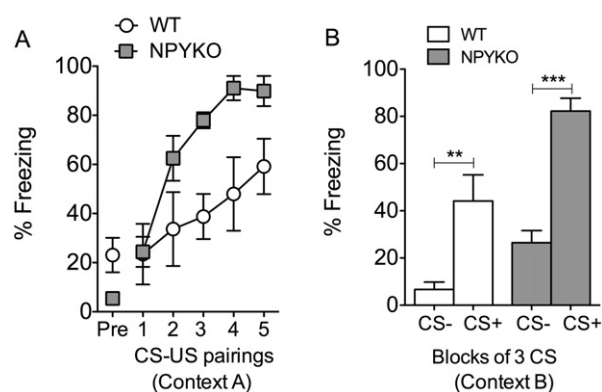
Figure 2

Acquisition and expression of conditioned fear. (A and B) Equal baseline freezing (PreCS) but significantly accelerated acquisition of fear was observed in NPY KO mice compared with WT controls (day 1, context A); histograms show increased expression/recall of fear indicated by higher % of freezing to the CS+ in NPY KO mice on day 2 in a different context (context B); stimulus discrimination was absent in NPY KO mice as demonstrated by similar % of freezing during CS- and CS+ presentations; (C and D) accelerated fear acquisition shown in Y₁ receptor KO mice (day 1, context A), but similar % of freezing as WT controls after 24 h on day 2 in a different context (context B); (E and F) Y₂ receptor KO mice show equal acquisition (day 1, context A) and expression (day 2, context B) of conditioned fear like WT, whereas in contrast to controls, Y₂ receptor KO show impaired stimulus discrimination demonstrated by equal % of freezing to the CS+ and CS- on day 2; (G and H) Y₁/Y₂ receptor double KO mice demonstrate accelerated acquisition (day 1, context A) and increased expression (day 2, context B) of conditioned fear; compared with WT and Y₁ receptor single KO mice, Y₁/Y₂ double KO mice display increased % of freezing to CS-, indicating a generalization of conditioned fear. Data shown are means $\pm$ SEM, repeated two-way ANOVA with Bonferroni *post hoc* test, * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$.

4D-F). This response was mainly reflected by delayed within-session extinction, as shown for the single CS presentations (Figure 4D; repeated two-way ANOVA; time, $F_{(14/308)} = 4.49$, $P < 0.0001$ and genotype, $F_{(1/22)} = 18.22$, $P < 0.001$ with interaction $F_{(14/308)} = 2.10$, $P < 0.05$) and by comparison of the first with the last 3 CS+ on individual extinction days (Figure 4E and F; day1: time, $F_{(1/22)} = 18.75$, $P < 0.001$ and genotype, $F_{(1/22)} = 15.55$, $P < 0.001$ with no interaction $F_{(1/22)} = 2.67$, $P > 0.05$ and Bonferroni *post hoc* test, WT: $t_{(22)} = 4.05$, $P < 0.01$; Y₁ receptor

KO: $t_{(22)} = 1.99$, $P > 0.05$ and Figure 4F for day 2: time, $F_{(1/22)} = 13.82$, $P < 0.01$ and genotype, $F_{(1/22)} = 4.47$, $P < 0.05$ with no interaction $F_{(1/22)} = 0.06$, $P > 0.05$ and WT: $t_{(22)} = 2.35$, $P > 0.05$; Y₁ receptor KO: $t_{(22)} = 2.93$, $P < 0.05$). In contrast, the extinction process in Y₂ receptor KO mice did not significantly differ from that in WT controls (Figure 4G, single CS presentations; time, $F_{(14/252)} = 6.42$, $P < 0.0001$ and genotype, $F_{(1/18)} = 0.99$, $P > 0.05$ with no interaction: $F_{(14/252)} = 0.91$, $P > 0.05$). However, as observed in Y₁ receptor KO mice, Y₁/Y₂ receptor

Fear conditioning with two more distinct CS (visual/auditory)

**Figure 3**

Differential fear conditioning in NPY KO mice using a visual (CS-) and an auditory (CS+) stimulus. (A) Accelerated acquisition of conditioned fear in NPY KO mice compared to WT, (B) both, WT and NPY KO mice display higher % freezing to CS+ compared to CS- at fear testing 24 h after acquisition, demonstrating discrimination of two more distinct CS. Values are means $\pm$ SEM, repeated two-way ANOVA with Bonferroni *post hoc* test, ** $P < 0.01$, *** $P < 0.001$.

double KO mice revealed intact, but delayed within-session extinction as shown by single CS presentations (Figure 4J, repeated two-way ANOVA for time, $F_{(14/294)} = 6.17$, $P < 0.0001$ and genotype, $F_{(1/21)} = 26.34$, $P < 0.0001$ with no interaction: $F_{(14/294)} = 1.33$, $P > 0.05$) and comparison of the first with the last three CS+ (Figure 4K, day1: time, $F_{(1/21)} = 35.92$, $P < 0.0001$ and genotype, $F_{(1/21)} = 21.05$, $P < 0.001$ with no interaction $F_{(1/21)} = 0.11$, $P > 0.05$ and Bonferroni *post hoc* test, WT: $t_{(21)} = 4.38$, $P < 0.001$; Y_1/Y_2 receptor KO: $t_{(21)} = 4.09$, $P < 0.01$ and Figure 4L for day 2: time, $F_{(1/21)} = 12.02$, $P < 0.01$ and genotype, $F_{(1/21)} = 21.61$, $P < 0.0001$ with no interaction $F_{(1/21)} = 0.05$, $P > 0.05$ and Bonferroni *post hoc* test, WT: $t_{(21)} = 2.56$, $P < 0.05$; Y_1/Y_2 receptor KO: $t_{(21)} = 2.34$, $P > 0.05$).

Between-session extinction. Between-session extinction was measured by the freezing response to the first CS over the course of the three different extinction days (Figure 5). NPY KO mice did not only display significantly impaired within-session extinction (Figure 4A–C), but also impaired between-session extinction, shown by comparing % freezing upon the first CS+ on three consecutive days (Figure 5A, repeated two-way ANOVA, genotype: $F_{(2/50)} = 71.71$, $P < 0.0001$; time: $F_{(1/25)} = 3.49$, $P < 0.05$ and interaction genotype $\times$ time: $F_{(2/50)} = 4.92$, $P < 0.05$).

As shown in Figure 5, however, between-session extinction was not affected in Y_1 KO mice (Figure 5B). Similarly, also in Y_2 receptor KO mice between-session extinction did not differ from that in WT controls (Figure 5C, repeated two-way ANOVA, time $F_{(2/34)} = 3.93$, $P < 0.05$; genotype: $F_{(1/17)} = 0.01$, $P > 0.05$ and interaction genotype $\times$ time: $F_{(2/34)} = 0.02$, $P > 0.05$). However, as in NPY KO mice, in Y_1/Y_2 receptor double KO mice between-session extinction and extinction recall were significantly impaired (Figure 5D, repeated two-way ANOVA, genotype: $F_{(1/21)} = 12.83$, $P < 0.01$; time: $F_{(2/42)} = 1.48$,

$P > 0.05$ and interaction of genotype $\times$ time: $F_{(2/42)} = 2.37$, $P > 0.05$).

Discussion

Results obtained in our study clearly demonstrate that NPY as well as Y_1 and Y_2 receptors are crucially involved in learned fear. NPY KO mice display facilitated acquisition, increased expression/recall and impaired extinction of conditioned fear. Moreover, although Y_1 receptor KO mice displayed moderate changes in acquisition and delayed extinction, Y_1/Y_2 receptor double KO mice exhibited strongly accelerated fear acquisition and severe extinction deficits. The lack of Y_2 receptors on its own, however, did not result in altered amygdala-dependent fear learning.

Brain areas and major projections involved in associative fear learning have been extensively investigated (LeDoux, 2000; Pape and Pare, 2010). The amygdala exerts a key role in associative plasticity and fear learning. Interestingly, the amygdaloid complex contains significant concentrations of different neuropeptides and neuropeptide receptors (Stanic *et al.*, 2011). Thus, in the BLA, NPY is expressed in a specific class of GABA-ergic interneurons (McDonald and Pearson, 1989). NPY, released from amygdala interneurons may inhibit glutamatergic projection neurons resulting in decreased BLA output and consequently in reduced anxiety. Similarly, NPY may reduce glutamatergic excitation in the BLA also during fear conditioning and thereby inhibit synaptic plasticity and the acquisition of fear memories.

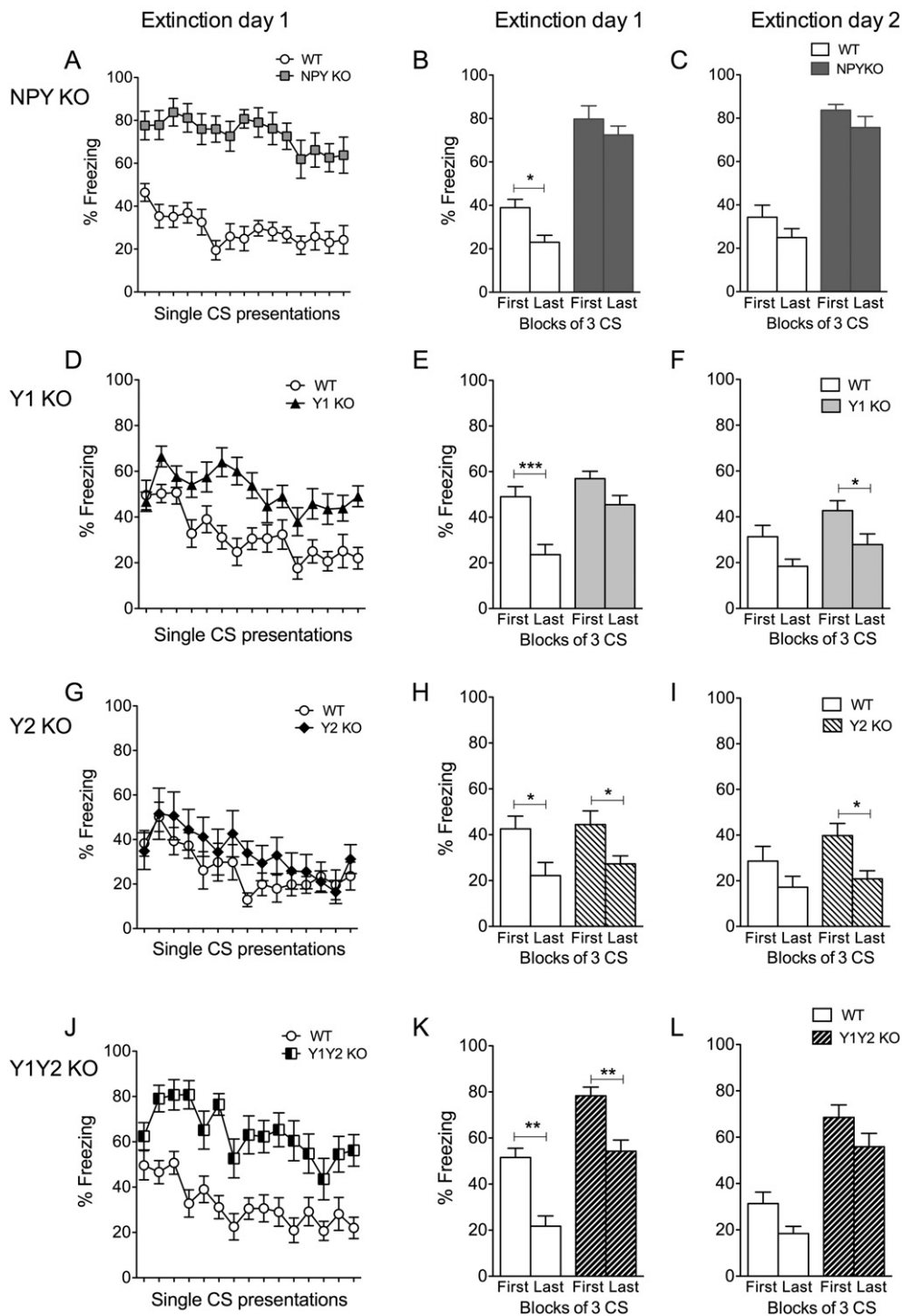
Role of NPY in fear acquisition

In the present study, NPY KO mice showed facilitated acquisition of conditioned fear (Figure 3A, Table 1). Lack of NPY may reduce the inhibitory tonus in the BLA during fear conditioning, an effect that is presumably dependent on Y_1 receptors located on pyramidal neurons in the BLA (Giesbrecht *et al.*, 2010).

Both, Y_1 and Y_2 receptors are highly expressed in the BLA and CEA (Kopp *et al.*, 2002; Stanic *et al.*, 2006; 2011). Recently, generally higher freezing levels were observed in Y_1 receptor KO mice during fear conditioning (Fendt *et al.*, 2009). Similarly, we demonstrated facilitated fear conditioning in Y_1 receptor KO mice (Figure 3C, Table 1), suggesting that NPY inhibits fear conditioning by acting on postsynaptic Y_1 receptors. Recent evidence, however, indicates that intra-amygdala application of NPY also causes *reduced* fear expression in Y_1 receptor KO mice, suggesting the involvement of different Y receptors in fear conditioning (Fendt *et al.*, 2009). In addition to Y_1 receptors, Y_2 and Y_5 receptors are also expressed in the amygdaloid complex, while expression of Y_4 receptors are primarily restricted to specific brain stem nuclei (Wolak *et al.*, 2003; Stanic *et al.*, 2006; Tasan *et al.*, 2009).

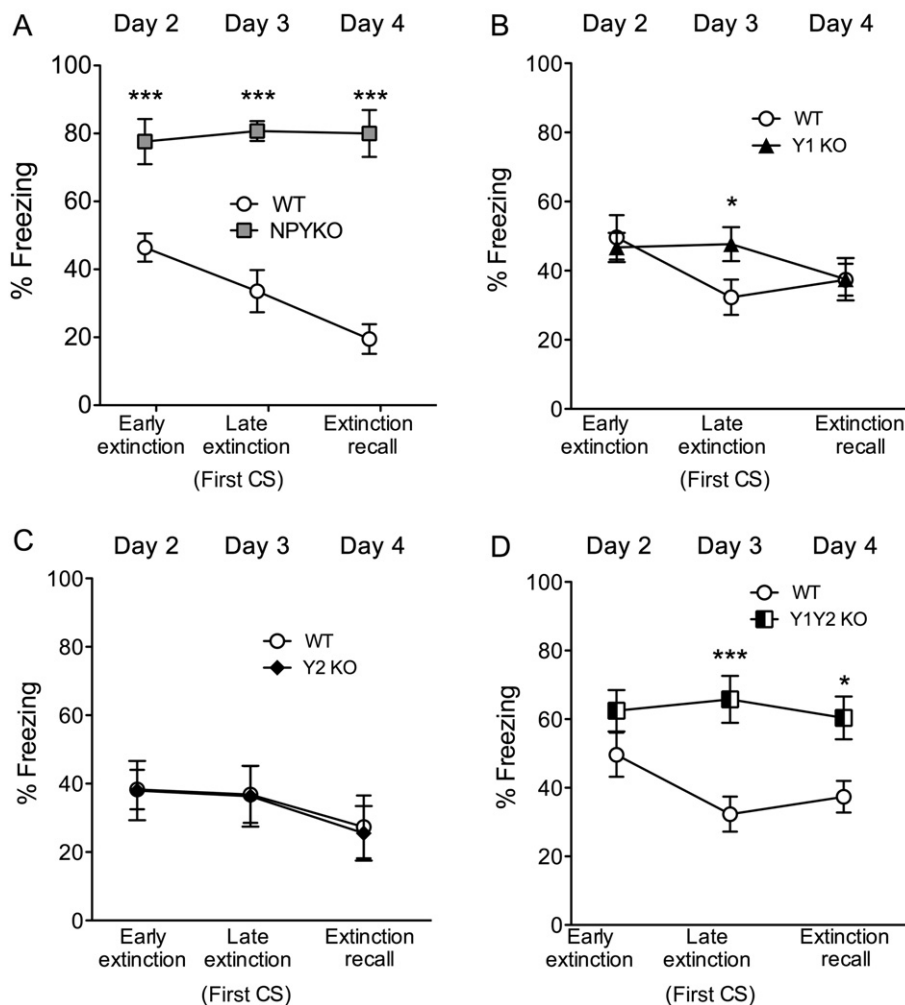
Our study revealed that the deletion of Y_2 receptors by itself does not modify cued fear conditioning (Figure 3E and F, Table 1). On the other hand, Y_1/Y_2 receptor double KO mice exhibited exaggerated fear acquisition compared with Y_1 receptor KO mice, strongly suggesting a dominant role of Y_2 receptor deletion on fear learning while the contribution of Y_1 receptors may be only of only minor significance. In

Within session extinction (Context B)

**Figure 4**

Within-session extinction of conditioned fear in NPY, Y₁, Y₂ and Y₁/Y₂ receptor double KO mice. (A–C) Histograms (right panels) show impaired fear extinction of NPY KO mice demonstrated by equal % of freezing to the first three and last three CS of extinction sessions on day 2 and day 3, (D–F) compared to WT, Y₁ receptor KO mice show significantly higher % of freezing to the last three CS on extinction day 2 but not on extinction day 3, indicating a delayed extinction process, (G–I) Y₂ receptor KO mice demonstrate equal % of freezing as WT controls on both extinction days, (J–L) Y₁/Y₂ receptor double KO mice display increased freezing to the first and last three CS on both extinction days. Data shown are means $\pm$ SEM, repeated two-way ANOVA with Bonferroni *post hoc* test for expression of conditioned fear, * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$.

Between session extinction (Context B)

**Figure 5**

Between-session extinction is impaired in NPY KO and Y₁/Y₂ receptor double KO mice. (A) Impaired extinction in NPY KO mice shown by higher % of freezing to the first CS of day 2 (early extinction), day 3 (late extinction) and day 4 (extinction recall); (B) a delayed extinction was observed for Y₁ receptor KO mice evidenced by increased % of freezing to the first CS on day 2 but not on day 3 (extinction recall), (C) no change in % of freezing between WT and Y₂ receptor KO mice on all three consecutive extinction days, (D) increased % of freezing of Y₁/Y₂ receptor KO mice is demonstrated on days 3 and 4, indicating impaired between-session extinction. Data shown are means $\pm$ SEM, repeated two-way ANOVA with Bonferroni *post hoc* test, * $P < 0.05$, *** $P < 0.001$.

contrast to Y₁ receptors, activation of Y₂ receptors presynaptically inhibits transmitter release (NPY, glutamate or GABA) (Colmers *et al.*, 1991) and decreases long-term potentiation and synaptic plasticity (Sorensen *et al.*, 2008; 2009). Site-specific injection of Y₂ receptor preferring agonists (Sajdyk *et al.*, 2002) and local deletion of Y₂ receptors (Tasan *et al.*, 2010), however, suggest an anxiogenic role of Y₂ receptors in the BLA and CEA due to a Y₂ receptor-mediated inhibition of NPY release. Thus, it is conceivable that in Y₂ receptor KO mice, an accelerated fear learning may be masked by a concomitant anxiolytic effect mediated by increased release of NPY acting on postsynaptic Y₁ receptors. In Y₁/Y₂ receptor double KO mice, however, deletion of postsynaptic Y₁ receptors may reduce the inhibitory tone of NPY on pyramidal

neurons and concomitant deletion of presynaptic Y₂ receptors (located on glutamatergic neurons) may facilitate glutamate release and thereby reinforce synaptic plasticity.

Role of NPY in fear expression

Recently, Gutman *et al.* (2008) demonstrated that infusion of NPY into the BLA inhibits the expression of fear-potentiated startle responses. This observation is in accordance with our current experiments revealing an increased fear expression in NPY KO mice (Figure 3B, Table 1). In addition, we provide evidence that only combined Y₁ and Y₂ receptor deletion recapitulates increased fear expression observed in NPY KO mice. This finding is supported by the recent studies of Fendt *et al.* (2009) demonstrating reduced conditioned freezing

Table 1
Summary of effects of NPY and Y receptor deletion on fear learning

Genotype	Acquisition	Expression/retention	Stimulus discrimination	Extinction within-session	Extinction between-session	Extinction recall
NPY KO	↑↑	↑↑	→	→→	→→	→→
Y1 receptor KO	↑	=	=	→→	=	=
Y2 receptor KO	=	=	→→	=	=	=
Y1/Y2 receptor KO	↑↑	↑↑	→→	→→	→→	→→

NPY, neuropeptide Y; KO, knockout.

after infusion of NPY, but not after infusion of the Y₁ receptor preferring agonists Y-28 [Des-AA¹¹⁻¹⁸ [Cys^{7,21}, D-Lys⁹ (Ac), D-His²⁶, Pro³⁴]-NPY] or Y-36 [(D-Arg²⁵,D-His²⁶)-NPY].

Role of NPY in fear generalization

The amygdala mediates predominantly immediate fear reactions upon discrete cues (Hitchcock and Davis, 1987; 1991; LeDoux *et al.*, 1988), whereas the bed nucleus of the stria terminalis may be involved in a long-term response to diffuse stimuli (Walker and Davis, 1997; Walker *et al.*, 2003). By using a differential fear-conditioning paradigm, we investigated the ability to discriminate between two different stimuli, one that was explicitly paired with the US (CS+) and a second one that was not paired (CS-). When CS+ and CS- were represented by two different auditory stimuli, NPY KO mice displayed an increased freezing response to the CS-, whereas both WT and NPY KO mice were able to differentiate between two completely different stimuli, such as a visual and an auditory stimulus. The inability to distinguish between two similar stimuli indicates a generalization of conditioned fear and a possible involvement of the bed nucleus of the stria terminalis. This generalized fear response was also observed in Y₂ receptor KO and in Y₁/Y₂ receptor double KO mice, but not after Y₁ receptor deletion alone, indicating an important role of Y₂ receptors in conditioned fear stimulus discrimination (Figure 3, Table 1). Generalization of conditioned fear also increases with time, when memory traces become independent of hippocampal processing (Biedenkapp and Rudy, 2007; Wiltgen and Silva, 2007). On the other hand, hippocampal lesions after fear conditioning disrupt context discrimination for recent memories, whereas remote memories, that usually employ predominantly cortical areas, are not affected (Wang *et al.*, 2009). Our results therefore indicate that NPY, and in particular, Y₂ receptors that are highly expressed in the hippocampus, may be crucial for the accurate retention of recent fear memories.

Role of NPY in fear extinction

Infusion of NPY into the ventricles promoted within-session as well as between-session extinction of conditioned fear in a fear-potentiated startle paradigm (Gutman *et al.*, 2008). In addition, pharmacological blockade of Y₁ receptors in the BLA inhibited extinction, suggesting a facilitating role of endogenous NPY on fear extinction by acting on Y₁ receptors in the BLA. Similarly, Fendt *et al.* (2009) reported facilitated within-session extinction of conditioned freezing after infusion of NPY into the amygdala in mice. In our study, NPY KO mice did not show extinction of conditioned fear, even when extending the extinction trials to 40 presentations per day for 2 days. NPY in the BLA may be crucial for coordinating different excitatory inputs involved in fear extinction. On the other hand, the increased anxiety-like behaviour of NPY KO mice (Bannon *et al.*, 2000; Karl *et al.*, 2008) and the tendency towards a generalization of fearful stimuli observed in our study may interfere with the acquisition of extinction memory.

Interestingly, Y₁ receptor KO mice display intact, but significantly delayed extinction, whereas Y₂ receptor KO mice did not behave differently in this respect from control mice (Figures 4 and 5, Table 1). In Y₁/Y₂ receptor double KO mice, however, within-session extinction was significantly

delayed, while between-session extinction was entirely absent, suggesting a crucial role of Y₂ receptors in the consolidation of fear extinction. Besides the involvement of NPY and in particular of Y₁ receptors in the extinction of conditioned fear, our data also suggest a crucial role of Y₂ receptors in promoting the consolidation of extinction memory and/or increase of basal anxiety/attention levels.

Data obtained using germ-line KO mice may be viewed with caution as global gene deletion and developmental alterations could produce a complex phenotype limiting unambiguous conclusions on the role of NPY in fear conditioning. However, because NPY KO mice exhibit a decreased sensitivity to the US, the observed accelerated fear conditioning and impaired extinction may be even under-estimated.

Furthermore NPY KO as well as Y₁/Y₂ receptor double KO mice displayed reduced home cage activity in the dark phase of the light/dark cycle, whereas it was equal to controls during the light phase. The computerized analysis software (Topowatch v0.3) used in this study had the advantage of generating objective, reproducible data. Separation of freezing and immobility behaviour by an automatic analysis system may not be satisfactory. We therefore validated the program, by adjusting the parameters of the software according to the manual analyses of two independent observers. Moreover, experiments were performed in the light phase, when the activity of NPY KO mice was similar to WT, and baseline freezing during the first 2 min habituation period was similar in NPY KO and WT controls. More importantly, we also assessed reactive immobility levels recorded in the fear-conditioning chamber in the absence of any stimulus for the same time period as in acquisition and extinction experiments. There was no difference between the different genotypes, indicating equal activity of these mice under test conditions. Altered acoustic or visual perception, as well as habituation or sensitization play an important role in fear conditioning and extinction and may substantially influence experimental findings. Compared with WT controls, there was no significant difference between genotypes in the CS only/no shock group. On the other hand, an apparent difference in reactive, CS-induced motor activity was seen between NPY KO and Y₁/Y₂ receptor double KO mice (Figure 1E and G). Despite this difference in reactive motor activity, their respective behaviours in fear conditioning experiments were very similar, further supporting the role of NPY in fear processing.

In conclusion, we have investigated the role of NPY and its Y₁ and Y₂ receptors in fear conditioning and extinction. We demonstrated a prominent role of NPY and in particular of Y₂ receptors in fear acquisition and fear stimulus discrimination, while NPY and Y₁ receptors were crucial for extinction of conditioned fear. Knock out of NPY resulted in facilitated acquisition, increased expression of fear and in impaired fear extinction. Importantly, only deletion of both Y₁ and Y₂ receptors duplicated this phenotype. Thus, the data indicate an involvement of both receptors in acquisition and expression of conditioned fear, as well as in fear extinction.

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Conflicts of Interest

There are no other personal financial holdings of any of the authors that could be perceived as constituting a potential conflict of interest. None of the authors has any past or present financial links including consultancies with manufacturers of material or devices described in the paper as well as links to the pharmaceutical industry or regulatory agencies or any other potential conflicts of interest.

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Supporting information

Additional Supporting Information may be found in the online version of this article:

Figure S1 Sensitivity threshold to electric foot shocks. Evaluation of sensitivity to electric foot shocks demonstrates increased threshold to (A) shock induced flinching, (B) vocalization, (C) running and (D) jumping in NPY KO mice. Kruskal Wallis with Dunns *post hoc* test (KO mice: $n = 8$ mice/group; WT: $n = 32$).

Figure S2 Freezing levels to the CS– during fear acquisition. Accelerated acquisition of CS– induced % of freezing in (A) NPY KO, (B) Y₁ receptor KO and (D) Y₁/Y₂ receptor double KO but not in (C) Y₂ receptor KO mice. In general CS– induced freezing levels were similar to respective CS+ induced freezing (Figure 2A, C, E and G). Values are means $\pm$ SEM, repeated two-way ANOVA with Bonferroni *post hoc* test, $*P < 0.05$, $***P < 0.001$.

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